

<b>7 September 2017</b>		<b>ITEM: 7</b>
<b>Health and Wellbeing Overview and Scrutiny Committee</b>		
<b>2016/17 Annual Complaints and Representations Report</b>		
<b>Wards and communities affected:</b> All	<b>Key Decision:</b> Key	
<b>Report of:</b> Tina Martin, Statutory & Corporate Complaints Manager		
<b>Accountable Assistant Director:</b> Les Billingham, Assistant Director – Adult Social Care		
<b>Accountable Director:</b> Roger Harris, Corporate Director of Adults, Housing & Health		
<b>This report is Public</b>		

## **Executive Summary**

The annual report for Thurrock Council on the operation of the Adult Social Care Complaints Procedure covering the period 1 April 2016 – 31 March 2017 is attached as Appendix 1. It is a statutory requirement to produce an annual complaints report on adult social care complaints.

The adult social care complaints procedure is operated in accordance with the Local Authority Social Services and National Health Service Complaints (England) Regulations 2009.

The report sets out the number of representations received in the year including the number of complaints, key issues arising from complaints and the learning and improvement activity for the department.

A total of 300 representations were received during 2016-17 as detailed below:

- 142 compliments
- 98 complaints received
- 8 MP enquiries
- 41 Member enquiries
- 10 MEP enquiries
- 1 Local Government Ombudsman enquiry

### **1. Recommendation(s)**

#### **1.1 That scrutiny committee consider and note the report.**

## **2. Introduction and Background**

- 2.1 This is the annual report for Thurrock Council on the operation of the Adults Social Care Complaints Procedure covering the period 1<sup>st</sup> April 2016 – 31<sup>st</sup> March 2017. It is a statutory requirement to produce an annual complaints report on Adults Social Care complaints.
- 2.2 The Adult Social Care complaints procedure is operated in accordance with the Local Authority Social Services and National Health Service Complaints (England) regulations 2009.

## **3. Issues, Options and Analysis of Options**

- 3.1 This is a monitoring report for noting, therefore there is no options analysis. The annual report attached as Appendix 1 includes consideration of reasons for complaints, issues arising from complaints and service learning and improvement activity in response.

### **3.2 The headline messages for this report are:**

### **3.3 Summary of representations received 2016/17**

- 142 compliments
- 98 complaints received
- 8 MP enquiries
- 41 Member enquiries
- 10 MEP enquiries
- 1 Local Government Ombudsman enquiry

Further detail on compliments, complaints and enquiries is outlined in Appendix 1.

### **3.4 Local Government Ombudsman**

There was one case received from the Ombudsman's office for this reporting year, as was the case for the previous year.

The complainant raised concerns regarding treatment of service user(s) in a nursing home; the LGO concluded that there was no maladministration by the council and they were satisfied with how the council managed the concerns which had been raised.

### **3.5 Learning from Complaints**

Complaints and feedback provide the service with an opportunity to identify things that can be improved; they provide a vital source of insight about people's experience of social care services.

Upheld complaints are routinely analysed to determine themes and trends and services are responsible for implementing learning swiftly. Robust monitoring and evidencing of corrective actions is a key theme for the next reporting year.

Some case studies showing lessons learnt are in the attached report.

### **3.6 Looking Forward**

Adult social care is continuing to undergo a period of significant transformation across all services within Thurrock with high pressure on resources against an increase in demand for services which can have an impact on the community and provision of social care services. This may lead to further queries and complaints received within the department and the focus will be to continue to ensure that a high quality and responsive complaints service is delivered in accordance with the statutory requirements.

Further detail on work priorities is outlined in Appendix 1.

## **4. Reasons for Recommendation**

- 4.1 It is a statutory requirement to produce an annual complaints report on adult social care complaints. It is best practice for this to be considered by Overview and Scrutiny. This report is for monitoring and noting.

## **5. Consultation (including Overview and Scrutiny, if applicable)**

- 5.1 This report has been agreed with the Adult Social Care senior management team. Consideration of complaints issues and learning and improvement arising from them are identified as an ongoing priority in the report.

## **6. Impact on corporate policies, priorities, performance and community impact**

- 6.1 All learning and key trends identified in the complaints and compliments reporting has a direct impact on the quality of service delivery and performance. The reporting ensures that valuable feedback received from service users and carers is captured effectively and regularly monitored with the primary focus on putting things right or highlighting and promoting where services are working well.

## **7. Implications**

### **7.1 Financial**

Implications verified by: **Laura Last**  
**Management Accountant**

There are no specific issues arising from this report.

## 7.2 Legal

Implications verified by: **David Lawson**  
**Deputy Head of Law and Governance**

There are no legal implications as the report is being compiled in accordance with regulation 18 of the Complaint Regulations.

## 7.3 Diversity and Equality

Implications verified by: **Natalie Warren**  
**Community Development & Equalities  
Manager**

There are no specific diversity issues arising from this report.

## 7.4 Other implications (where significant) – i.e. Staff, Health, Sustainability, Crime and Disorder)

- None

## 8. Background papers used in preparing the report (including their location on the Council's website or identification whether any are exempt or protected by copyright):

- None

## 9. Appendices to the report

Appendix 1 – Adult Social Care Complaints and Representations Annual Report 2016/17

### Report Author:

Tina Martin  
Statutory & Corporate Complaints Manager  
HR, OD & Transformation